

Altered cortical glutamatergic and GABAergic signal transmission with glial involvement in depression

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Abnormalities in L-glutamic acid (glutamate) and GABA signal transmission have been postulated to play a role in depression, but little is known about the underlying molecular determinants and neural mechanisms. Microarray analysis of specific areas of cerebral cortex from individuals who had suffered from major depressive disorder demonstrated significant down-regulation of SLC1A2 and SLC1A3, two key members of the glutamate/neutral amino acid transporter protein family, SLC1. Similarly, expression of L-glutamate-ammonia ligase, the enzyme that converts glutamate to nontoxic glutamine was significantly decreased. Together, these changes could elevate levels of extracellular glutamate considerably, which is potentially neurotoxic and can affect the efficiency of glutamate signaling. The astroglial distribution of the two glutamate transporters and L-glutamate-ammonia ligase strongly links glia to the pathophysiology of depression and challenges the conventional notion that depression is solely a neuronal disorder. The same cortical areas displayed concomitant up-regulation of several glutamate and GABA_A receptor subunits, of which GABA_Aα1 and GABA_Aβ3 showed selectivity for individuals who had died by suicide, indicating their potential utility as biomarkers of suicidality. These findings point to previously undiscovered molecular underpinnings of the pathophysiology of major depression and offer potentially new pharmacological targets for treating depression.

bipolar disorder | GABA_A receptors | glutamate transporters | major depression | suicide

Clinical depression, the phenotypic hallmark of the two leading mood disorders [major depressive disorder (MDD) and bipolar affective disorder (BPD)], is the most common psychiatric illness. It affects ≈121 million people worldwide, with 10–20% of women and 5–12% of men estimated to experience a depressive episode in any 1-year period, and with evidence of suicidality in 15% of those affected (ref. 1 and www.who.int). Yet, the etiology of the depressive disorders is largely unknown, although a joint contribution of genetic, environmental, and social factors is widely acknowledged (2, 3).

L-glutamic acid (glutamate) and GABA are the principal excitatory and inhibitory neurotransmitters in the central nervous system, respectively (4), and evidence suggests that alterations in both of these neurotransmitter systems may contribute to the pathophysiology of depression (5–7). For example, elevated levels of both neurotransmitters have been observed in the cerebral cortex of subjects diagnosed with MDD (8). Pharmacological evidence implicates NMDA receptors in the neuropathology of MDD and in the action of antidepressants (9, 10). Knockout mice and positive modulators of GABA_A and GABA_B receptors suggest dysfunction of the GABA system in depression (6). Although this evidence begins to implicate these neurotransmitters in major depression, the molecular mechanisms that may cause or contribute to the dysregulation of these systems remain unknown.

In the present study, we asked whether multiple molecular actors involved in the biosynthesis and regulation of these transmitters may be altered in major depression. To this end, we used microarray analysis (11), which permitted the discovery of genes that are differentially expressed in the cerebral cortex of depressed patients relative to healthy controls (12). We then validated these findings by using a combination of tools, including the analysis of an additional brain region in an independent subject cohort, cross-validation with an alternate custom microarray platform, and follow-up with *in situ* hybridization histochemistry (ISHH) (13).

The results identify a subset of functionally related molecules and suggest a unifying mechanism that could affect glutamate-GABA neurotransmission in depression. We report here the dysregulation in MDD, of a specific subset of genes encoding the glial high-affinity glutamate transporters, SLC1A2 (EAAT2; GLT1) and SLC1A3 (EAAT1; GLAST); glutamate-ammonia ligase (glutamine synthetase; GS; GLUL; GSII; EC 6.3.1.2); and various subunits of glutamate receptors and GABA_A receptors. We compare the expression patterns of these genes in MDD versus BPD. We also identify two GABA_A receptor subunits showing altered expression specifically in suicidal subjects, regardless of the type of the mood disorder.

Methods

Human Subjects. The demographic data, diagnosis, and medical examination of the subjects, as well as the brain quality control criteria, have been described (14–16). The cohort on which this study is based included seven healthy controls (one female, six male), nine MDD patients (two female, seven male), and six BPD patients (one female, five male). Control subjects had no clinical history of neurological or psychiatric disease or of substance abuse, and the patients had no record of potentially confounding clinical conditions. The use of human subjects in the study was approved by the University of California, Irvine, Institutional Review Board, and informed written consent was obtained from immediate family members of the tissue donors.

Tissue Acquisition and RNA Preparation. Brains were processed and stored frozen at –80°C (17). The experimental procedures used have been published (14–16). Samples of anterior cingulate

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Abbreviations: AnCg, anterior cingulate cortex; BPD, bipolar affective disorder; DLPPC, dorsolateral prefrontal cortex; glutamate, L-glutamic acid; MDD, major depressive disorder; SSC, saline sodium citrate; GS, glutamine synthetase; ISHH, *in situ* hybridization histochemistry.

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cortex (AnCg; area 24) and left dorsolateral prefrontal cortex (DLPFC; areas 9 and 46) were dissected from the frozen brains. Total RNA was extracted (18), labeled, and hybridized (19) to Affymetrix (Santa Clara, CA) GeneChips (HG_U95Av2, each containing 12,626 probe sets).

Microarray Data Analysis. The built-in and spiked controls, 3'/5' ratios of housekeeping genes, scaling factor, and raw noise (Q) levels documented in the report (RPT) file of each GeneChip were used for determining technical variability and the quality of array hybridizations. To define variations of interest in the brains of depressed subjects over controls, relative gene expression was estimated by using the fitPLM function of the ROBUST MULTI-ARRAY AVERAGE (RMA) software package (<http://bioconductor.org>). The log-transformed PM (perfect match) values were directly compared array-to-array, after a global background adjustment and across-array quantile normalization to remove between-chip bias (observed variation) (20, 21). By using the output probe level summaries, *t* scores (case signal minus the control signal divided by the square root of the sum of the square of the error terms) were calculated for all 12,626 genes on the array (HG_U95Av2) by using Microsoft EXCEL spreadsheet; and, redundant probes were eliminated by using a publicly available custom CDF file, (UG-4) (<http://brainarray.mhri.med.umich.edu/brainarray>) (14). Probe sets showing a *P* value (derived from the *t* score) of ≤ 0.05 were considered significant in differential expression; and from among them, those with a fold-change threshold of ≥ 1.175 were considered up-regulated, and those with a threshold of ≤ 0.85 down-regulated. The thresholds of 1.175 and 0.85 were chosen in keeping with the compressed nature of RMA output, where FOLD CHANGE values always remain below a maximum of 2.0. Conversely, even a 15% (fold) change in RMA output can be significant, unlike that in MAS 5.0 (Affymetrix) output. Therefore, a combined filter of *P* value and FOLD CHANGE with higher thresholds applied to RMA output can prove too stringent and result in type I error (loss of true positives), especially in the case of rare transcripts that show relatively weak signals.

Transcript Profiling Using the Sentrix BeadChip Arrays. As an independent validation of altered expression of genes identified by Affymetrix GeneChip arrays, we arrayed 7 of the 13 selected candidate genes on Sentrix Custom BeadChip arrays (Illumina, San Diego), processed and analyzed according to the manufacturer's recommendations. The 7 genes tested were SLC1A2, GluR5, GluR-KA2, mGluR3, GABA α 5, GABA δ , and GABA β 1.

In Situ Hybridization. Representatives of candidate genes selected by microarray analysis were independently validated by using ISHH (13). Small blocks of tissue were excised from DLPFC and AnCg of the right hemispheres from the same patients and controls used for microarray analysis, and were matched by age,

gender, and postmortem interval (PMI), wherever possible. The subject number, *n*, for each category is indicated in the figure legends. The frozen tissue blocks were allowed to rise to 4°C and then placed in chilled 4% paraformaldehyde in 0.1 M phosphate buffer (pH 7.4) overnight. The blocks were then infused with 30% sucrose in 0.1 M phosphate buffer, refrozen in dry ice, and stored at -85°C until sectioning. Serial sections (50 μ m thick) were cut on a sliding microtome and collected in 4% paraformaldehyde in 0.1 M phosphate buffer (pH 7.4) in which they remained for ≥ 7 days, pending further processing.

Free-floating sections were prepared for *in situ* hybridization by washing in 0.1 M phosphate buffer containing 0.1 M glycine, followed by two washes in phosphate buffer and two washes in 2 \times saline sodium citrate (SSC; 1 \times SSC is 0.15 M sodium chloride and 0.015 M sodium citrate, pH 7.0). The pretreated sections were hybridized overnight at 60°C in a humid chamber by incubating with labeled probe in the hybridization solution, containing 50% formamide, 10% dextran sulfate, 0.7% ficoll, 0.7% polyvinyl pyrrolidone, 0.5 mg/ml yeast tRNA, 0.33 mg/ml denatured herring sperm DNA, 20 mM DTT, and 5.0×10^5 cpm/ml of the [α - 32 P]UTP-labeled antisense (or sense) riboprobe. The following linearized cDNA templates were prepared and used in transcription, in accordance with National Institutes of Health guidelines for recombinant DNA research: SLC1A2: *Hs* cDNA, 150 bp {5'>855-1005<3'}, (NM_004171; gi: 40254477); SLC1A3: *Hs* cDNA, 223 bp {5'>236-455<3'}, (BC054475; gi: 32450688); GS: *Hs* cDNA, 211 bp {5'>1025-1236<3'} (BC018992; gi: 17512037); mGluR3: *Hs* cDNA, 322 bp {5'>2903-3225<3'} (BC041407; gi: 27552882); GluR1: *Hs* cDNA, 380 bp {5'>809-1188<3'} (NM_000827; gi: 6552333); GABA α 5: *Hs* cDNA, 290 bp {5'>1430-1720<3'} (NM_000810; gi: 6031207).

After hybridization, the sections were washed twice in 4 \times SSC at 60°C, digested with 20 μ g/ml of ribonuclease A (pH 8.0) for 30 min at 45°C, and washed with SSC of descending concentrations, down to 0.5 \times . They were then mounted on gelatin-coated slides, dried, and exposed to Amersham Pharmacia β -max film for 15 days. After the film was developed, the sections were lipid-extracted in chloroform, dipped in Kodak NTB2 emulsion (diluted 1:1 with water), exposed for 8 days at 4°C, developed in Kodak D-19, fixed, and counterstained with cresyl violet.

Film autoradiograms of hybridized sections were quantified by using a microcomputer imaging device (MCID/M5; Imaging Research, St. Catharines, Ontario, Canada). Mean gray levels, recorded by the system from each sample and reported as integrated optical density (IOD) values, were converted to radioactivity units (nCi/g) (1 Ci = 37 GBq), based on a set of 14 C radioactive standards (Amersham Pharmacia) exposed on each film. A minimum of five measurements were taken within each cortical layer in at least three sections, from each brain region. The background signal, measured as that over the white matter signal was subtracted from each individual measurement

Table 1. A summary of gene expression changes observed in the AnCg and DLPFC of brains from patients with MDD and BPD

Disease	Genes	Number of genes showing expression change					
		AnCg			DLPFC		
		Total	Glutamate	GABA	Total	Glutamate	GABA
MDD	Total	249	4	0	252	5	3
	Up-regulated	115	0	0	77	2	3
	Down-regulated	134	4	0	175	3	0
BPD	Total	359	4	1	238	1	3
	Up-regulated	285	3	1	214	1	3
	Down-regulated	74	1	0	24	0	0

Table 2. List of candidate genes showing expression changes in MDD and BPD

Gene name	Symbol	Alias	Cytoband	Fold change	
				AnCg	DLPFC
Major depressive disorder					
Glial high-affinity glutamate transporter, Na ⁺ -dependent	<i>SLC1A2</i>	GLT-1; EAAT2	11p13	0.80	0.71
Glial high-affinity glutamate transporter, Na ⁺ -dependent	<i>SLC1A3</i>	GLAST; EAAT1	5p13	0.85	0.65
Glutamine synthetase	<i>GLUL</i>	GS	1q31	0.73	0.78
Glutamate receptor, ionotropic, AMPA 1	<i>GRIA1</i>	AMPA1; IGLuR1	5q31.1	1.3	
Glutamate receptor, ionotropic, AMPA 3	<i>GRIA3</i>	AMPA3; IGLuR3	Xq25		1.18
Glutamate receptor, ionotropic, kainate 1	<i>GRIK1</i>	GluR5; EAA3	21q22.11		1.21
Glutamate receptor, ionotropic, kainate 5	<i>GRIK5</i>	GluR-KA2; EAA2	19q13.2	1.14	1.21
GABA _A receptor, beta 3	<i>GABARβ3</i>	GABA _A Rβ3	15q11.2		1.21
GABA _A receptor, delta	<i>GABRD</i>	GABA _A Rδ	1p36.3		1.19
GABA _A receptor, gamma 2	<i>GABRG2</i>	GABA _A Rγ2	5q3.1		1.22
Bipolar affective disorder					
Glutamate receptor, ionotropic	<i>GRIA1</i>	AMPA1; IGLuR1	5q31.1		1.21
Glutamate receptor, ionotropic	<i>GRIA3</i>	AMPA3; IGLuR3	Xq25	1.21	
Glutamate receptor, ionotropic, kainate 1	<i>GRIK1</i>	GluR5; EAA3	21q22.11	0.78	
Glutamate receptor, metabotropic 3	<i>GRM3</i>	mGluR3	7q21.1	1.24	1.26
GABA _A receptor, alpha 5	<i>GABRA5</i>	GABA _A Rα5	15q11.2	1.24	1.20
GABA _B receptor 1	<i>GABBR1</i>	GABA _B R1	6p21.31		1.22

Each record indicates the gene name, symbol, common name, cytoband, and fold changes (FC) of expression in the AnCg and/or DLPFC. Expression values, meeting a significance threshold of $P \leq 0.05$ and a fold change of ≥ 1.175 were considered increases, and those with a fold change of ≤ 0.85 were considered decreases.

in all layers of each cortical region. For each cortical region and layer, the mean values of target mRNA levels were calculated, and the mean and standard error for each cohort were calculated from the 10 individual means. Statistical significance of the differences between the mean values of the diseased and controls was determined by repeated measures analysis of variance (RMANOVA), by using cohorts as groups and cortical layers as variates. In addition, Student's two-tailed paired *t* test was used to independently determine the significance of differences observed between cohorts for each cortical layer.

Results

Discovery of Altered Expression of GABA and Glutamate-Related Genes in MDD Using GeneChip Arrays. In MDD, AnCg (249) and DLPFC (252) exhibited similar numbers of genes with expression changes compared with controls (Table 1). Both cortical areas showed significant down-regulation of the glial high-affinity glutamate transporters *SLC1A2* and *SLC1A3*. In addition, both cortical areas showed significant down-regulation of *GS*. Concomitantly, the glutamate receptor genes *AMPA1* and *GluR-KA2* were up-regulated in AnCg; and *AMPA3*, *GluR5*, and *GluR-KA2* were up-regulated in DLPFC (Table 2). *GABA_Aβ3*, *GABA_Aδ*, and *GABA_Aγ2* were also up-regulated in DLPFC (Table 2).

Candidate Gene Discovery in BPD and Suicide Using GeneChip Arrays.

In BPD, AnCg exhibited more genes with expression changes (359) than DLPFC (238) (Table 1). Both cortical areas in BPD also showed significant changes in expression of the glutamate receptor subunits *AMPA1*, *AMPA3*, *GluR5*, and *mGluR3*; of the GABA_A receptor subunit *GABA_Aα5*; and of the GABA_B receptor subunit *GABA_B1* (Table 2). Regardless of the type of mood disorder, however, two other GABA_A receptor subunit mRNAs, *GABA_Aα1* and *GABA_Aβ3*, were consistently up-regulated in AnCg in suicide victims afflicted with MDD (seven suicidal, six nonsuicidal) or BPD (six suicidal, three nonsuicidal), compared with nonsuicidal controls ($n = 22$).

Validation of Candidate Genes Using BeadChip Arrays. The up-regulation of *GABA_Aα5* in both cortical areas and *GABA_BR1*

in DLPFC was further confirmed independently, by using focused arrays (Sentrix BeadChip; Illumina, San Diego). DLPFC from BPD brains showed up-regulation of both *GABA_Aα5* and *GABA_B1*, whereas AnCg showed up-regulation of just the former, and MDD brains showed no significant changes.

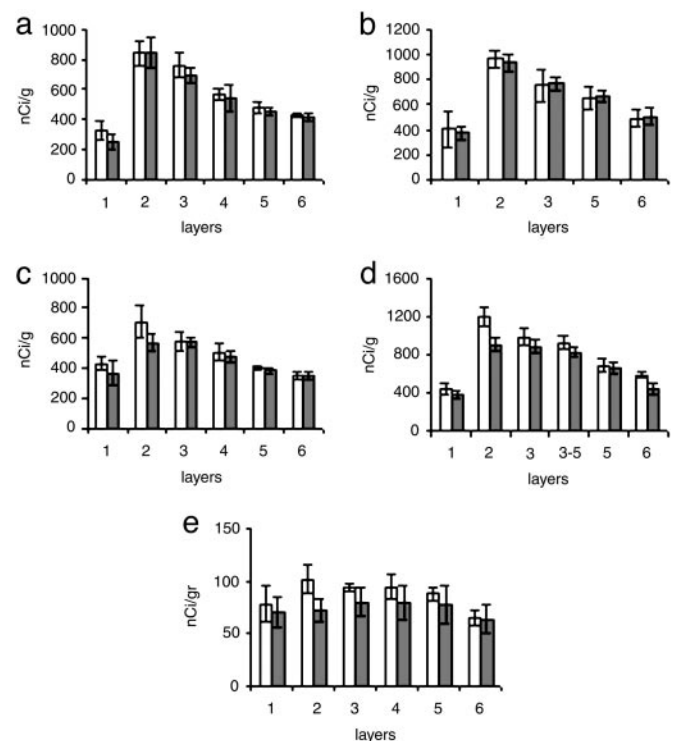


Fig. 1. Histograms from the analysis of film autoradiograms, showing levels of expression of *GS*, *SLC1A2*, and *SLC1A3* mRNAs in the DLPFC and AnCg in control subjects and in patients with MDD. Shown are histograms for *GS* (a and b), *SLC1A2* (c and d), *SLC1A3* (e), DLPFC (a, c, and e), and AnCg (b and d). Open columns, control subjects ($n = 6$); gray columns, patients with MDD ($n = 8$).

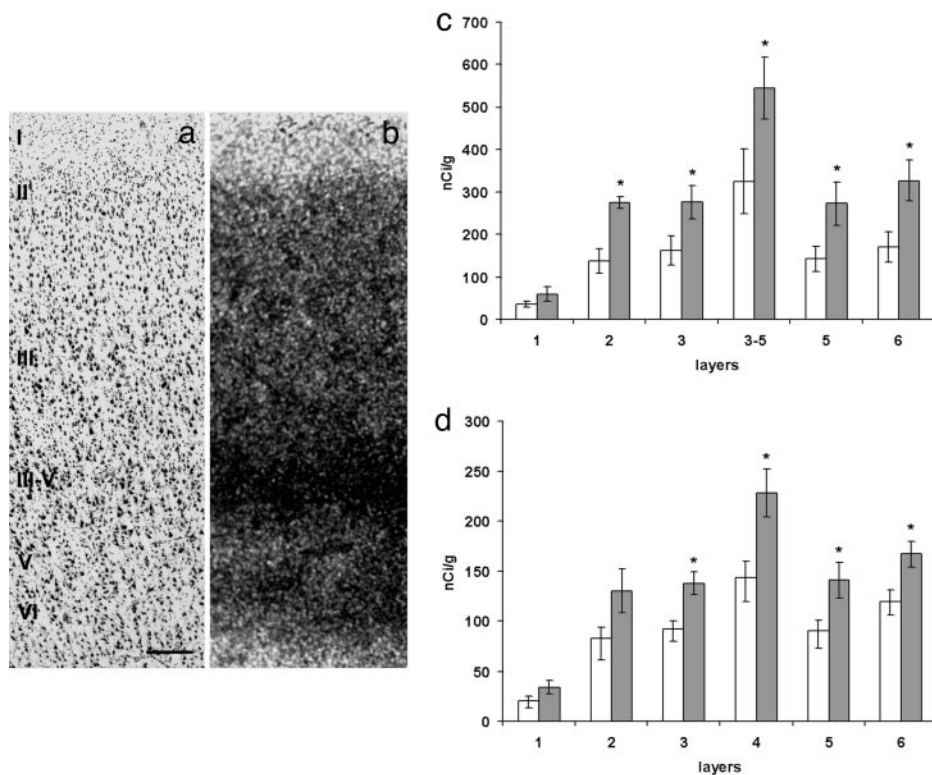


Fig. 2. GABA_Aα5 expression in the human AnCg and DLPFC. (a and b) Paired photomicrographs from AnCg. (a) Nissl staining of a perpendicular section from a control subject showing the AnCg cytoarchitecture. (b) Film autoradiogram from an adjacent section hybridized with GABA_Aα5 probe. Shown are histograms showing the distribution patterns of GABA_Aα5 mRNA in different layers of AnCg (c) and DLPFC (d) in control subjects ($n = 5$; white columns) and in bipolar patients ($n = 5$; gray columns). (Scale bar in a, 250 μ m).

Validation of Candidate Genes Using ISHH. To validate microarray findings we used ISHH and analyzed four genes (SLC1A2, SLC1A3, GS, and GABA_Aα5) in MDD, three genes (GluR1, mGluR3, and GABA_Aα5) in BPD, and all six genes in control samples. In MDD, the changes of GS, SLC1A2, and SLC1A3 expression showed a downward trend (Fig. 1), whereas GABA_Aα5 expression was significantly higher in both cortical regions (Fig. 2 c and d). Robust ISHH validation, consistent with microarray findings of SLC1 transcripts, may require probing enriched populations of a specific cell type, e.g., astroglia. However, the decreased expression of SLC1A3 and GS was additionally replicated in the amygdala from an additional independent cohort of MDD patients [$n = 5$ (1 female, 4 male); control subjects $n = 6$ (1 female, 5 male)].

In controls as well as diseased samples, AnCg showed higher levels of GABA_Aα5 (Fig. 2 a–d), SLC1A2, and GS mRNAs than DLPFC (Fig. 1). By contrast, GluR1 mRNA was found at higher levels in DLPFC, whereas mGluR3 mRNA levels were comparable in both regions (Fig. 3). Overall, the expression of GABA_Aα5 (Fig. 2), GluR1 (Fig. 3), and mGluR3 mRNAs (Fig. 3) was highest in deep layer III and superficial layer V of AnCg, and in layer IV of DLPFC. The GS, SLC1A2, and SLC1A3 expression was highest in layer II (Fig. 1).

In BPD, GABA_Aα5 (Fig. 2 c and d) was up-regulated in both cortical regions by $\geq 35\%$ in layers II, III, V, and VI of AnCg and in layers III, IV, V, and VI of DLPFC (Fig. 2d). mGluR3 and GLUR1 showed a definite upward trend (Fig. 3).

Discussion

The present study provides evidence for the dysregulation of genes related to the glutamatergic and GABAergic transmitter systems in the AnCg and DLPFC of individuals diagnosed with

major depression. Consistent alterations in the expression of glutamate transporter genes suggest major involvement of neuroglia in the pathology of depression, whereas changes in expression of certain GABA_A receptor subunit genes in suicide victims from both MDD and BPD suggest their value as potential biomarkers for predicting suicidal behavior in both affective disorders.

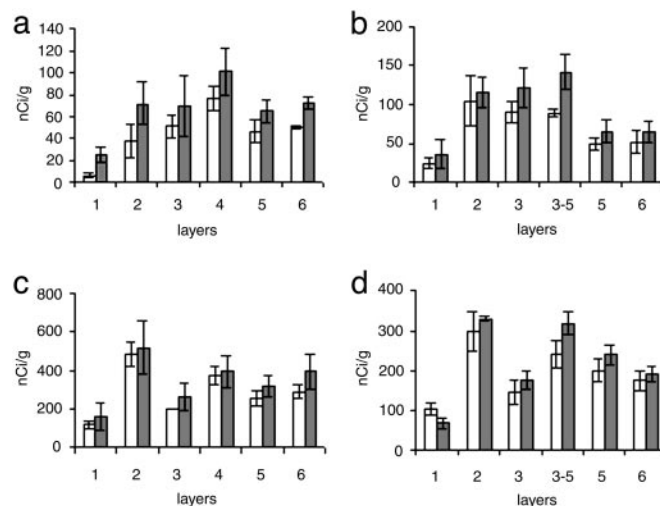


Fig. 3. Histograms from the analysis of film autoradiograms, showing levels of expression of mGluR3 and GLUR1 mRNAs in the DLPFC and AnCg of control subjects and in patients with BPD. Shown are histograms for mGluR3 (a and b), GLUR1 (c and d), DLPFC (a and c), and AnCg (b and d). Open columns, control subjects ($n = 6$); gray columns, patients with BPD ($n = 5$).

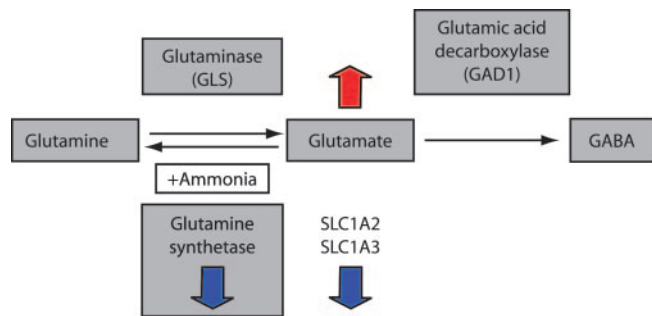


Fig. 4. Schematic drawing showing the metabolic steps, substrates, and enzymes involved in glutamate recycling. The down-regulation of the glial high-affinity glutamate transporters and glutamine synthetase are shown by blue arrows pointing down, and potentially accumulating glutamate levels are shown by orange arrow pointing up.

The glial high-affinity glutamate transporters SLC1A2 and SLC1A3 are members of SLC1, a seven-member family of amino acid transporters (22–24). SLC1A2 and SLC1A3 are primarily astroglial in distribution (25). Deficits in these molecules could impair reuptake of glutamate from the synaptic cleft by astroglia, prolonging synaptic activation by glutamate (26, 27). Accumulations of extracellular glutamate could not only perturb the ratio of excitatory-inhibitory neurotransmitter levels (7), but also potentially cause cytotoxic damage to neurons and glia (4, 28–30). Significantly reduced numbers of neuroglia in MDD have been reported (31).

Glutamate is recycled in brain cells through several alternate mechanisms. Two mechanisms are important in this context. First, glutamate serves as the starting material for the biosynthesis of GABA (Fig. 4), catalyzed by glutamic acid decarboxylase (GAD), an enzyme consistently implicated in mood disorders as well as in schizophrenia (18, 32–34). Equally importantly, glutamate is also the starting material for the biosynthesis of glutamine catalyzed by the 42-kDa astroglial enzyme GS, which in the process neutralizes ammonia (35). The significantly lowered expression of GS observed in AnCg and DLPFC in MDD is consistent with a previous report (7) of reduced glutamate-glutamine cycling in the plasma and cerebrospinal fluid of depressed patients. Down-regulated GS can conceivably elevate residual glutamate concentrations and trigger feedback inhibition of glutamate transport/synthesis and/or allow a rise in extracellular glutamate with a potential for excitotoxic effects.

We also detected up-regulation of certain ionotropic and metabotropic glutamate receptor subunits in depressed patient brains. Up-regulated AMPA (α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid)-kainate receptors can allow excessive Ca^{2+} ions to enter through NMDA receptor-gated ion channels (36), which could in turn react with reactive oxygen species (ROS) and trigger apoptosis (37). This potential increase in vulnerability

to apoptosis may be amplified by the dysregulation in growth factors we have observed in the same brains, particularly the FGF system (14). Indeed, it is conceivable that the dysregulation in growth factors plays a causative role in increased apoptosis and in the altered expression of glial-based glutamate transporters (38). In turn, because metabotropic glutamate receptors are coupled to G protein receptors and act on various second messenger systems, the effects could be even more far reaching through modulation of numerous downstream signaling mechanisms.

From our results, it seems that the coordination between different subunits of the GABA_A complex may be disturbed in the DLPFC of MDD patients, as indicated by up-regulation of GABA_A β 3, and GABA_A δ and GABA_A γ 2, which control the function of the GABA_A complex (5). This result is not surprising in view of the tight coupling of the biosynthesis of GABA with glutamate recycling (Fig. 4), but it remains to be deciphered whether this GABA up-regulation is primary or is secondary to the illness, and represents a compensatory response to the GABA deficit or glutamate overexcitability. Nevertheless, it is consistent with previous implications of changes in GABA_A α 5, GABA_A β 3, and GABA_A γ 3 in MDD (6, 7, 39). Further, the genes encoding these receptor subunits map to 15q11-q13, within a span of 100 kb (40, 41), suggesting potential control at the genetic level.

Changes involving altered GABA/glutamate signaling are not unique to depression but also can be found in schizophrenia (32–35, 42) and in several neurological diseases (24). Our findings point to alterations in glutamate recycling, glutamate receptors, GABA receptors, and glial cells and open up new possibilities for treating depression and possibly other diseases sharing a common pathophysiology. Although further research is warranted to decipher whether the reduced elements of glutamatergic signaling system-related gene expression are a reflection of altered gene regulation leading to pathologic metabolism or more simply a reflection of diminished populations of glutamatergic neurons, they could provide pharmaceutical targets, specific to the biological defect, for drugs that modulate glutamate transporters or antagonize specific glutamate and GABA_A subunits (6, 9, 43, 44).

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